

**Monfort College of Business**  
**Semester Course Syllabus**

**(2009-2010)**

COURSE PREFIX/TITLE: BAFN 379--Investments

Sem. Hrs. 3  
Ed. Cap. 40

CATALOG DESCRIPTION: BAFN 370. Business majors only. Juniors or above. The study of financial securities, their valuation and the markets where they are traded. Analyze economic and market factors affecting risk, returns, and timing of investment decisions.

PREREQUISITE: BAFN 370. Business majors only. Juniors or above.

COURSE OBJECTIVES:

1. To examine the types and characteristics of securities available for investment purposes, the financial markets where they are traded, and the investment environment.
2. To study the valuation of financial securities and the processes by which securities are selected as investment vehicles for individuals and businesses.
3. To examine the effect of the risk/return relationship on the investment decision.

COURSE TOPICS:

Approx.  
50 Min.  
Periods

	<u>Topics Covered</u>	<u>Description</u>
6	The Investment Environment	A study of the role and scope of investments, investment markets and transactions, and sources of investment information and advice.
9	Investment Fundamentals	The study of risk and return measurement, margin trading, and short selling.
3	Investment Planning	The study of how to establish an investment program in order to meet liquidity, solvency, performance, and retirement requirements.
9	Investment and Equities	A study of common investments analysis as well as stock valuation utilizing technical and fundamental analysis.
9	Fixed Income Securities	A study of bond investments, preferred stock, and convertible securities.
3	Speculative Investments	A study of options, commodities, and financial futures.
3	Ancillary Investments	A study of mutual funds, tax sheltered investments, and investing in precious metals.

Approx.

50 Min.

Periods

Topics Covered

Description

3

Global Investments

An examination of the vehicles available for global investing, the benefits of global diversification, and the additional risk exposures faced by investors.

45

Total

COURSE REQUIREMENTS: Examination(s), homework, projects and quizzes as needed.

TYPE OF GRADING: Letter

SUGGESTED TEXT AND/OR SUPPLEMENTS: (Note: These books are only suggested. Prior to purchasing books and other materials, students need to check with the class instructor for their book and class material choices.)

Hirt and Block (2006). *Fundamentals of investment management* (8<sup>th</sup> ed.). \_\_\_\_\_: McGraw-Hill.

Bodie, Kane, and Marcus (2008). *Essentials of investments* (7<sup>th</sup> ed.). **City, State:** McGraw-Hill.  
Study guides are optional.

Malkiel, B. (2007). *A random walk down wall street*. **City, State: Publisher.**

Students also should have access to the Wall Street Journal through MCB's WSJ Partnership program.

ASSESSMENT STATEMENT: The Monfort College of Business may collect data in this course that will be used to assess student progress toward our program learning goals and objectives. Individual student performance information will be kept confidential; however, this data may be disseminated in an aggregate form to professional groups and through research publications. If you do not wish your performance in this class to be included in research about overall student performance (beyond the accreditation process), please inform your instructor in writing of this intent.

COMPUTER UTILIZATION: During this course students will use a computer simulation designed to represent the management of an investment portfolio. Students are required to maintain the portfolio so as to meet goals of an objective portfolio.

LIBRARY UTILIZATION: Students will have several library assignments requiring the generation of reports using library resources, such as *Moody's*, *Value Line*, and *Standard & Poors*.

GLOBAL DIMENSION COVERAGE: Students will be apprised of the advantages of foreign investments in maintaining a well-diversified portfolio and the use of foreign investments in reducing portfolio risk.

ETHICAL DIMENSION COVERAGE: The ethical and legal ramifications of entering the investment markets will be covered as well as the regulatory aspects of financial markets. Special attention will be paid to fiduciary responsibilities of brokers and other agents.

DISABILITY STATEMENT: Any student requesting disability accommodation for this class must inform the instructor giving appropriate notice. Students are encouraged to contact Disability Support Services at (970) 351-2289 to certify documentation of disability and to ensure appropriate accommodations are implemented in a timely manner.

CLASSROOM TECHNOLOGY GUIDELINES:

Each student is required to review and become familiar with the following classroom technology guidelines: <http://www.mcb.unco.edu/Technology/Classroom%20Technology%20Guidelines.pdf>

Food is not allowed in classrooms. Only drinks in a container with a screw top lid are allowed.

KENNETH W. MONFORT COLLEGE OF BUSINESS STATEMENT

The Kenneth W. Monfort College of Business students are expected to conduct themselves in accordance with the highest standards of academic honesty. Cheating, plagiarism, illegitimate possession and disposition of examinations, alteration, forgery, or falsification of official records and documents, and similar acts or the attempt to engage in such acts are grounds for disciplinary action. This action can include the following in addition to any University disciplinary action:

1. A failing grade for a particular assignment.
2. A failing grade for a particular course.
3. Suspension from the College of Business Administration.
4. Expulsion from the College of Business Administration.

Students are expected to complete all prerequisites for a business class prior to the first day of class. Students may not concurrently enroll in a class and its prerequisite(s) unless it is expressly stated in the bulletin that the class is a co-requisite. Any exception to this policy must be approved by the Director of the School offering the class.

Students must submit original works for assignments required in this class. This includes term papers, cases and other course requirements. A student who submits a work that was previously submitted to another class without prior approval from the instructor is in violation of this policy. A student who violates this policy may receive a failing grade for the assignment, a failing grade for the class, and face termination of his/her business degree program.

MONFORT COLLEGE OF BUSINESS STUDENT CODE OF PROFESSIONAL CONDUCT:

Monfort College of Business students represent the professional and academic interests of the entire MCB & UNC communities. Accordingly, MCB expects all students to behave in a professional manner and adhere to high ethical standards in every business class and in every activity connected with the Monfort College of Business. This professional behavior is required not only in the classroom but also in all MCB-related interactions such as e-mails, conferences and use of technology.

MCB students should exhibit respectful behavior in classes. This behavior includes arriving on time to class, staying in class until the lecture ends, having the discipline to stay engaged in class, turning-off or silencing cell phones and pagers while in class, refraining from text-messaging, and avoiding coming to

class wearing clothing that is unduly provocative or has written messages that are rude, insensitive or obscene. MCB students should engage in respectful behavior in all activities where they act directly or indirectly as a representative of the MCB. Respectful behavior creates a more desirable, civilized, and productive learning environment, and it models behavior that is both productive and pleasant when students transition from the classroom to the workplace.

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indirectly as a representative of the MCB. Respectful behavior creates a more desirable, civilized, and productive learning environment, and it models behavior that is both productive and pleasant when students transition from the classroom to the workplace.

MCB students should master and apply the fundamental skill of preparing and sending class or university related e-mails that are properly written, show professionalism and communicate a clear message. E-mails containing profanity, spelling mistakes, punctuation errors, or poor composition evidence sloppiness and show disrespect to the recipient. MCB students should use proper forms of address when communicating orally or in writing with faculty or staff (i.e., Dr. Smith, Professor Jones, Ms. Abbot, etc.). If a student does not know how to address a faculty member, he or she should ask.

This code of professional conduct complements, but does not replace, the Honor Code of the Monfort College of Business or the Honor Code of the University.

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